

PATENT
Attorney Docket No.: ECD-0004
Customer No.: 29344

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John J. Hart, III, *et al.* Examiner: Dinh, Tan X.
Serial No.: 10/023,424 Group Art Unit: 2653
Filing Date: December 12, 2001
Title: SYSTEMS AND METHODS FOR OPTICAL MEDIA
MODIFICATION

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

10-6-04

Date

Vanessa Marakas

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Supplemental Information Disclosure Statement;
2. Forms PTO-1449 and copies of cited references BO, BP, OK and PA-PC;
3. Check in the amount of \$180.00 to cover fee under 37 CFR 1.17(p); and
4. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: October 6, 2004
Mills & Onello, LLP
Eleven Beacon Street, Suite 605
Boston, Massachusetts 02108
Telephone: (617) 994-4900
Facsimile: (617) 742-7774
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Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants



PATENT
Attorney Docket No.: ECD-0004

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10-6704

Date

Vanessa Marakas

Vanessa Marakas

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)

under 37 CFR 1.97(b), or
(Within three months of filing national application other than a CPA under §1.53(d); or within three months of date of entry of the national stage in international application; or before mailing date of first Office Action on the merits; or before mailing date of first Office Action after filing RCE under §1.114, whichever occurs last)

under 37 CFR 1.97(c) together with either:

a Statement under 37 CFR 1.97(e), as checked below, or

the \$180.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, or other action which closes prosecution, whichever occurs first)

under 37 CFR 1.97(d) together with:

a Statement under 37 CFR 1.97(e), as checked below, and

the \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

10/13/2004 YPQ10TE1 00000023 10023424
180.00 OP
01 FC:1806

Applicant(s): John J. Hart, III, *et al.*
Serial No.: 10/023,424

Statement Under 37 1.97(e)

- [] Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- [] No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- [X] Copies of cited references are enclosed.
- [] Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. _____, and references that are not required to be submitted under 37 CFR 1.98.
- [] The listed references were cited in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- [X] The "concise explanation" requirement under 36 CFR 1.98(a)(3)(i) for reference BP is satisfied by:
 - [] the explanation provided on the attached sheet.
 - [] the explanation provided in the Specification.
 - [X] submission of the previously submitted English-language translation of previously-cited reference BL. References BP and BL are related applications.
 - [] abstract.

It is requested the information disclosed herein be made of record in this application.

Applicant(s): John J. Hart, III, *et al.*
Serial No.: 10/023,424

Method of payment:

Enclosed is a check in the amount of 180.00.

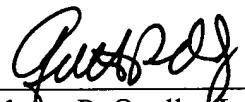
Please charge Deposit Account of 50-1798 in the amount of _____.

Two duplicate copies of this Statement are enclosed.

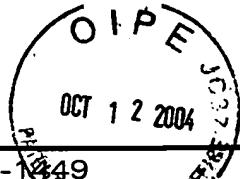
Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: October 4, 2004
Mills & Onello, LLP
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Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants



Sheet 1 of 3

Subst. Form PTO-1449

Docket Number (Optional)

ECD-0004

Application Number

10/023,424

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Applicant

John J. Hart III, et al.

Filing Date

12/12/01

Group Art Unit

2653

U. S. Patent Documents

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
BA						
BB						
BC						
BD						
BE						
BF						
BG						
BH						
BI						
BJ						
BK						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
BL							
BM							
BN							
BO	WO 98/08180	26/02/98	WO	G06	K	X	
BP	DE 198 42 392	16/09/98	Germany	G11B	23/28		X
BQ							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

BR	
BS	
BT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.

OCT 12 2004

Sheet 2 of 3

Subst. Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)

ECD-0004

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10/023,424

Applicant

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U. S. Patent Documents

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	OA						
	OB						
	OC						
	OD						
	OE						
	OF						
	OG						
	OH						
	OI						
	OJ						
	OK	6,104,679	08/15/00	Sollish	369	33	10/01/98

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	OL							
	OM							
	ON							
	OO							
	OP							
	OQ							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	OR	
	OS	
	OT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.

OCT 12 2004

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Sheet 3 of 3

Subst. Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)

ECD-0004

Application Number

10/023,424

Applicant

John J. Hart III, et al.

Filing Date

12/12/01

Group Art Unit

2653

U. S. Patent Documents

EXAMINER INITIALS	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
PA	6,311,305	10/30/01	Sollish, et al.	714	784	03/02/98
PB	2002/0069389	06/06/02	Sollish, et al.	714	758	11/27/01
PC	6,718,501	04/06/04	Brody, et al.	714	752	
PD						
PE						
PF						
PG						
PH						
PI						
PJ						
PK						

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
PL							
PM							
PN							
PO							
PP							
PQ							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

PR	
PS	
PT	

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